

PTO/SB/17 (01-03)

Approved for use through 04/30/2003, OMB 0851-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL

for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 3210

Complete if Known

Application Number 09/783,184
 Filing Date 02/15/2001
 First Named Inventor Dr. Walter Rosenbaum
 Examiner Name To be assigned
 Art Unit To be assigned
 Attorney Docket No. 2001P01889US

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JAN 07 2003

PETITIONS OFFICE

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number
Deposit Account Name

502464

Siemens Schweiz AG

The commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 16	2202 8	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 16	2205 8	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

**or number previously paid. If greater, For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	130
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 65	Non-English specification	
1812 2,520	2812 1,260	For filing a request for ex parte reexamination	
1804 920*	2804 460*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	2805 920*	Requesting publication of SIR after Examiner action	
1261 110	2261 55	Extension for reply within first month	
1262 410	2262 205	Extension for reply within second month	
1263 930	2263 465	Extension for reply within third month	
1264 1,450	2264 725	Extension for reply within fourth month	
1265 1,970	2265 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	2451 755	Petition to institute a public use proceeding	
1462 110	2462 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	1280
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1603 630	2603 315	Plant issue fee	
1480 130	2480 65	Petitions to the Commissioner	
1807 50	2807 25	Processing fee under 37 CFR 1.17(g)	
1808 180	2808 90	Submission of Information Disclosure Stmt	
8021 40	28021 20	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 760	2801 380	Request for Continued Examination (RCE)	
1802 900	2802 450	Request for expedited examination of a design application	

Other fee (specify) Fees due per Missing Parts Notice

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 3210

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Jacob Eisenberg	Registration No. (Attorney/Agent)	43.410	Telephone	+41585583285
Signature	<i>Jacob Eisenberg</i>	Date	January 7, 2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,144	02/15/2001	Walter Rosenbaum	2001P01989 US

CONFIRMATION NO. 1512

FORMALITIES LETTER



OC00000005875753

Jacob Eisenberg
c/o Siemens AG
PO Box 221634
Munich, 80506
GERMANY

Date Mailed: 03/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

FAX RECEIVED

JAN 07 2003

PETITIONS OFFICE

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$960.
 - \$720 for 40 total claims over 20.
 - \$240 for 3 Independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1800.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/26/2003 TL0011 00000001 502464 09783144

01 FC:1001	750.00 CH
02 FC:1201	252.00 CH
03 FC:1202	720.00 CH
04 FC:1051	130.00 CH

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number 09/783,144

Filing Date 02/15/2001

First Named Inventor Walter Rosenbaum

Group Art Unit to be assigned

Examiner Name to be assigned

Total Number of Pages in This Submission 17

Attorney Docket Number 2001P01989US

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ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
☐ Fee Attached
☐ Amendment / Reply
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☐ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☒ Response to Missing Parts/ Incomplete Application
☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
☒ Drawing(s)
☐ Licensing-related Papers
☒ Petition
☐ Petition to Convert to a Provisional Application
☐ Power of Attorney, Revocation Change of Correspondence Address
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter
☒ Other Enclosure(s) (please identify below):

Remarks

Executed Declaration

Drawings are substitute drawings per PTO request

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual name

Jacob Eisenberg c/o Siemens Schweiz, Customer Number 28204

Signature

Jacob Eisenberg

Date

01/07/2003

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent & Trademark Office on this date:

January 7, 2003

Typed or printed name

Jacob Eisenberg, Registration Number 43,410

Signature

Jacob Eisenberg

Date

01/07/2003

PTO/SB/97 (08-00)

Approved for use through 10/31/2002, OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

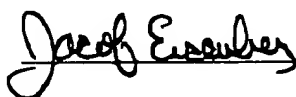
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Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the
United States Patent and Trademark Office

on January 7, 2003

Date



Signature

Jacob Eisenberg, Reg. No. 43,410

Typed or printed name of person signing Certificate

Customer Number 28204 - Serial Number: 09/783,144

Telephone: +41 585 583 295 - Atty Docket: 2001P01989US

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

The following documents are transmitted herewith by facsimile. Should there be any errors with this translation, the USPTO is invited to contact the above-signed at the number provided.

1. Petition for Revival of an Application for Patent Abandoned Unintentionally under 37 CFR 1.137(b) (form PTO/SB/64)
2. Transmittal Form;
3. Fee Transmittal Form (form PTO/SB17);
4. Substitute Drawings;
5. Copy of Notice to File Missing Parts; and
6. Executed Declaration.

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FAX RECEIVED**JAN 07 2003****PETITIONS OFFICE**

SIEMENS**VIA FACSIMILE****Assistant Commissioner for Patents
United States Patent & Trademark Office
Washington, DC 20231**

Fax: 001-703-308-6916

Name	Jacobs Eisenberg
Division of activity	Intellectual Property
Department	IP
Phone	+41 585 58 3295
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Your reference	09/783,144
Our reference	2001P01989US
Date	January 7, 2003

Customer No.: 28204**FAX RECEIVED****JAN 07 2003****PETITIONS OFFICE**

Re: Utility Patent Application
Atty. Dock.: 2001P01989US; Serial No.: 09/783,144
Filed: 02/15/2001; Inventor(s): Dr. Walter Rosenbaum
For: Closed Loop Electronic Factoring

Sir:

A NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION for the above application was mailed out by the US Patent Office on March 19, 2001. A response to the Notice was due September 19, 2001. Applicant unintentionally failed to respond to the outstanding Notice and this became known less than two months prior to this correspondence. A copy of the first page is enclosed with the remaining pages unintentionally lost by Applicant. Responsive to the outstanding Notice, the following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Petition for Revival of an Application for Patent Abandoned Unintentionally under 37 CFR 1.137(b) (form PTO/SB/64)
2. Transmittal Form;
3. Fee Transmittal Form (form PTO/SB17);
4. Substitute Drawings;
5. Copy of Notice to File Missing Parts; and
6. Executed Declaration.

It is respectfully requested that the attached post card be stamped with the filing date of these documents and returned to us via post. A duplicate of this letter is enclosed.

Siemens Switzerland Ltd

Albisriederstrasse 245
P.O.B
CH-8047 ZürichMain telephone number
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SIEMENS

2001P01989US

January 7, 2003, page 2

In the event that the transmittal form is separated from this document and the Patent Office determines that an extension of time and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees in connection with the filing of this document to Deposit Account No.: 502464 referencing attorney docket number 2001P01989US. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Date: January 7, 2003

Respectfully Submitted,

Jacob Eisenberg
Jacob Eisenberg, Esq.
Attorney for Applicant
Registration No. 43,410
Customer No.: 28204

Enclosures